

FILE COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

In re the Application of: Takenori GOTO, et al.

Serial Number: 09/532,791

Group Art Unit: 2874

Filed: March 22, 2000



Attention: Appln Processing Division,
Customer Correction Branch

For: SEMICONDUCTOR LASER DEVICE AND METHOD OF FABRICATING THE
SAME

REQUEST FOR CORRECTED FILING RECEIPT

Director of Patents & Trademarks
Washington, D.C. 20231

Date: July 10, 2000

Sir:

Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the official Filing Receipt, we noted an error in that there is a typographical error in the title ("SEMICONDUTOR" should read - -SEMICONDUCTOR- -). A copy of the Declaration is enclosed which indicates the correct spelling.

We are enclosing a copy of the filing receipt with the correction highlighted.

In the event that any fees are required in connection with this paper, please charge our Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, WESTERMAN, HATTORI,
McLELAND & NAUGHTON

William G. Kratz, Jr.
Attorney for Applicants
Reg. No. 22,631

RECEIVED
AUG - 1 2000
TC 2800 MAIL ROOM

Atty. Docket No. 000350
1725 K Street, N.W., Suite 1000
Washington, DC 20006
Tel: (202) 659-2930
Fax: (202) 887-0357
WGK/cs

Enclosures: Copies of Filing Receipt & Declaration

FILING RECEIPT

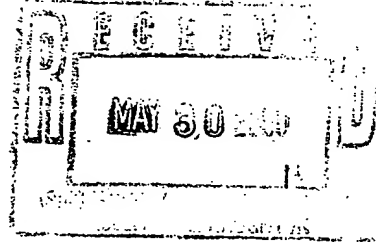


OC000000005140289

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/532,791	03/22/2000	2874	708	000350	16	21	2

Armstrong Westerman Hattori Mcleland & Naughton
1725 K Street NW
Suite 1000
Washington, DC 20006



Date Mailed: 05/25/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Takenori Goto, Moriguchi-shi, JAPAN;
Nobuhiko Hayashi, Osaka-shi, JAPAN;

Continuing Data as Claimed by Applicant

Foreign Applications

JAPAN 11-79470 03/24/1999
JAPAN 2000-63271 03/08/2000

If Required, Foreign Filing License Granted 05/25/2000

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Title

Semiconductor~~Semiconductor~~ laser device and method of fabricating the same

Preliminary Class

372

Data entry by : DADE, JOAN

Team : OIPE

Date: 05/25/2000



DECLARATION FOR U.S. PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention **entitled**

(Insert Title) SEMICONDUCTOR LASER DEVICE AND METHOD OF FABRICATING THE SAME

the specification of which is attached hereto unless the following is checked:

☐ was filed on _____ as United States Application Number or PCT International Application Number _____ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a) - (d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

				Priority Claimed
(List prior foreign applications. See note A on back of this page)	<u>11-79470</u> (Number)	<u>Japan</u> (Country)	<u>24/3/1999</u> (Day/Month/Year Filed)	<u>X</u> Yes ___ No
	<u>2000-63271</u> (Number)	<u>Japan</u> (Country)	<u>8/3/2000</u> (Day/Month/Year Filed)	<u>X</u> Yes ___ No
	_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	___ Yes ___ No
	_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	___ Yes ___ No

(See note B on back of this page) ___ See attached list for additional prior foreign applications

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

_____ (Application Number)	_____ (Filing Date)
_____ (Application Number)	_____ (Filing Date)



Bib Data Sheet


**UNITED STATES DEPARTMENT OF
COMMERCE**

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231**COPY**

SERIAL NUMBER 09/532,791	FILING DATE 03/22/2000 RULE -	CLASS 372	GROUP ART UNIT 2874	ATTORNEY DOCKET NO. 000350	
APPLICANTS Takenori Goto, Moriguchi-shi, JAPAN; Nobuhiko Hayashi, Osaka-shi, JAPAN; ** CONTINUING DATA ***** <i>NONE</i> ***** ** FOREIGN APPLICATIONS ***** <i>YES</i> ***** JAPAN 11-79470 03/24/1999 JAPAN 2000-63271 03/08/2000 IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 05/25/2000 -					
Foreign Priority claimed <input checked="" type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input checked="" type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Verified and <i>Tham Nguyen TN</i> Acknowledged Examiner's Signature Initials		STATE OR COUNTRY JAPAN	SHEETS DRAWING 16	TOTAL CLAIMS 21	INDEPENDENT CLAIMS 2
ADDRESS Armstrong Westerman Hattori Mcleland & Naughton 1725 K Street NW Suite 1000 Washington, DC 20006					
TITLE Semiconductor laser device and method of fabricating the same					
FILING FEE RECEIVED 708	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		